Transfer Request Form

PLEASE USE BLOCK LETTERS AND BLACK INK TO COMPLETE THIS FORM

fidante

To transfer all or part of your units to another person or entity (this includes transfers by way of a gift), please ensure you complete the following steps:

- Complete this Transfer Request Form with the details of the transferor(s)/seller(s) and transferee(s)/buyer(s).
- Complete the relevant Application Form, if applicable, for the transferee(s)/buyer(s)

Contact details

Mail your completed form to:

Fidante
GPO Box 3993
Sydney NSW 2001 (no stamp required)

If you have any questions regarding this form, please contact our Investor Services Team on 1300 721 637.

1 Transferor(s)/seller(s) details

Account name

Account number

2 Transferee(s)/buyer(s) details

☐ Transfer to my existing account number (if applicable)

Account name

Account number

Please complete **section 3** if you are a transferee/buyer with an existing account.

☐ Transfer to a new account (please complete relevant application form).

Investor Type

Please indicate (**X**) the investor type.

☐ Individual Investor ☐ Joint Investors ☐ Superannuation Fund ☐ Company ☐ Trust

2A. Investor 1/Company/Partnership or other entity

Surname/Company/Partnership/Entity name

Full given name(s)

Title (Mr/Mrs/Miss/Ms)

Date of birth / /

What other names Full Business name

ABN

2B. Investor 2

Surname

Full given name(s)

Title (Mr/Mrs/Miss/Ms)

Date of birth / /

3 Target Market Determination

Before investing in a Fund, investors should ensure they meet the description of the Fund's Target Market as outlined in its Target Market Determination (TMD). TMDs for each Fund are available from your financial adviser, our Investor Services team or **fidante.com**.

3A. Adviser use only

Please select ONE below:

☐ I have considered the TMD for the Fund and consider that the applicant is within the Fund's target market; or

☐ The applicant is not within the target market, however the Fund is appropriate for the investor and this application is necessary to implement the personal advice I have given to the applicant in relation to the acquisition of units in the Fund.

3B. Non-Adviser use only

Please only complete this section if you don't have an Adviser.

1. I have considered the TMD for the Fund and confirm the Fund's Target Market aligns with my objectives, financial situation and needs.	☐ Yes	☐ No
2. I have read and understood the TMD and PDS of the Fund and confirm the features of the Fund as described in the TMD and PDS aligns with my objectives, financial situation and needs.	☐ Yes	☐ No
3. I confirm I am not investing more of my portfolio into this Fund than what is recommended in the TMD of the Fund (i.e. Small Allocation – no more than 25% of my total investible assets; Core Allocation – up to 75% of my total investible assets; or Standalone Allocation – part or majority (up to 100%) of my total investible assets).	☐ Yes	☐ No
4. I confirm I am comfortable with holding my investment for at least the recommended investment timeframe as outlined in the TMD and PDS of the Fund.	☐ Yes	☐ No
5. I confirm that my risk/return profile when making this investment is consistent with the risk/return profile for the Fund as outlined in the TMD.	☐ Yes	☐ No
6. I confirm I am comfortable with when I can make withdrawals from the Fund as outlined in the PDS of the Fund.	☐ Yes	☐ No

If you do not understand the TMD of the Fund or need further information before proceeding with your investment, please call us on 1300 721 637.

If you do not have an adviser and answered NO to any of the questions above, we may call you to confirm additional details before deciding whether to process your investment.

4 Consideration details

Name of fund(s)

Number of units (amount in words)

Number of units

5 Declaration and applicant(s) signature(s)

Please note that joint investments must be signed by both investors.

If you are a corporate investor, this form must be signed either by:

- two Directors under seal;
- two Directors;
- Director and Company Secretary; or
- a Sole Director/Sole Secretary (where applicable).

Please state your name and role in the entity beneath your signature (e.g. Director, Company Secretary, Sole Director, Trustee).

Where signing under Power of Attorney, the attorney confirms that no notice of revocation of that power has been received. An original certified copy of the Power of Attorney, including the appointed Power of Attorney's signature, must be lodged with this form if it has not previously been supplied.

5A. Declaration and signature of transferor(s)/seller(s)

I/We, as the registered holder(s) and undersigned transferor(s)/seller(s) for the above consideration noted in **section 4**:

- transfer to the transferee(s)/buyer(s) the units held in my/our name(s) in the register of the above fund(s).
- agree that this transfer is subject to the same conditions on which I/we held them at the time of signing this transfer.

Investor 1

Signature

Date / /

Surname

Given name(s)

Capacity ☐ Sole Director ☐ Director ☐ Secretary ☐ Trustee

Investor 2

Signature

Date / /

Surname

Given name(s)

Capacity ☐ Director ☐ Secretary ☐ Trustee

COMPANY SEAL

5 Declaration and applicant(s) signature(s) (continued)

5B. Declaration and signature of transferee(s)/buyer(s)

I/We, the transferee(s)/buyer(s):

- agree to accept the above units subject to the same conditions as applicable to the transferor(s)/seller(s) and acknowledge being bound by the provisions of the constitution(s) of the fund(s).
- confirm that I/we have received and read a copy of the current Product Disclosure Statement and Target Market Determination for the fund(s) if applicable.
- acknowledge that Fidante is not an authorised deposit-taking institution (ADI) for the purpose of the Banking Act 1959 (Cth), and its obligations do not represent deposits or liabilities of an ADI in the Challenger Group (Challenger ADI) and no Challenger ADI provides a guarantee or otherwise provides assurance in respect of the obligations of Fidante. Investments in the Fund(s) are subject to investment risk, including possible delays in repayment and loss of income or principal invested. Accordingly, the performance, the repayment of capital or any particular rate of return on your investments are not guaranteed by any member of the Challenger Group.

Investor 1

Signature

Date / /

Surname

Given name(s)

Capacity ☐ Sole Director ☐ Director ☐ Secretary ☐ Trustee

Investor 2

Signature

Date / /

Surname

Given name(s)

Capacity ☐ Director ☐ Secretary ☐ Trustee

COMPANY SEAL

Each Fund's Product Disclosure Statement (PDS) includes information about purchasing units in the relevant fund. Any person who gives another person access to the application form must also give the person access to the PDS and any incorporated information. Each person should obtain and consider the Fund's Target Market Determination (TMD) and the Fund's Product Disclosure Statement (PDS) before making a decision about whether to make an investment in the Fund. A copy of any TMD and PDS can be obtained from your financial adviser, our Investor Services team or at **fidante.com**. The responsible entity of each of the Funds is Fidante Partners Limited (ABN 94 002 835 592, AFSL 234668) Fidante Partners Services Limited (ABN 44 119 605 373, AFSL 320505), each referred to as Fidante. Fidante or a financial adviser who has provided an electronic copy of the PDS and any incorporated information, will send you a paper copy of the PDS and any incorporated information and application form free of charge if you so request.

6 Adviser use only

All details in **section 3A** are true and correct and I indemnify the responsible entity of the Fund(s) against any liabilities arising from acting on any of the information provided by me in connection with my clients application which are deliberately false or misleading.

Adviser number

Office name

Surname

Given name(s)

Title (Mr/Mrs/Miss/Ms)

Phone (business hours)

Adviser group

Adviser group AFSL

Adviser signature

Date / /

Investment Link information

IL GN (Group) / /

Important notes

This application must not be handed to any person unless the relevant PDS and TMD and access to the information incorporated into the PDS is also being provided. Fidante may in its absolute discretion refuse any application for units. Persons external to Fidante or other entities who market Fidante Partners are not agents of Fidante. Fidante will not be bound by representations or statements which are not contained in information disseminated by Fidante. Application monies paid by cheques from financial advisers will only be accepted if drawn from a trust account.

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